





ORIGINAL ARTICLE OPEN ACCESS

# Thermal Convection in a Sixth-Order Generalized Navier–Stokes Fluid

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Received: 5 December 2024 | Revised: 28 March 2025 | Accepted: 4 August 2025

**Funding:** This work was supported by Emeritus Fellowship of the Leverhulme Trust (EM-2019-022/9), the European Union Next-Generation EU (PIANO NAZIONALE DI RIPRESA E RESILIENZA (PNRR) - PE00000004), and MIUR, PRIN 2022 Project (202249PF73).

Keywords: generalized Navier-Stokes | nonlinear stability | sixth-order derivatives | thermal convection

#### **ABSTRACT**

In this work, we deal with a problem of thermal convection for a fluid satisfying Navier–Stokes equation containing the spatial derivatives of the velocity field of sixth order, with the introduction of a tri-Laplacian term. It was pointed out by several authors, for example, Fried and Gurtin, that contributions of higher order take into account microlength effects; these phenomena are relevant in microfluidic flows. In particular, we follow the isothermal model of Musesti, using a Boussinesq approximation, so that the density in the body force term depends on the temperature to consider buoyancy effects that occur when the fluid is heated and it expands. We discuss different meaningful boundary conditions that have a key role to understand the effects of higher-order derivatives in microfluidic scenarios with convection. We carry out the complete study of linear and nonlinear stability for the flow. In addition, we complete the treatment with the analysis of critical wavenumbers and Rayleigh numbers for convection in the fluid.

#### 1 | Introduction

In recent years, nonstandard fluids which may be called generalized Navier–Stokes fluids have gained considerable interest both from the theoretical and the experimental point of view, see, for instance [1, 2]. As an example, Navier–Stokes–Voigt fluids (see, e.g., [3–9]), which are a zero-order theory in a larger class called Kelvin–Voigt fluids, have been deeply investigated by the Russian school, also with possible thermal effects [10–17]. Besides these, also Ladyzhenskaya's alternative formulations are worth mentioning [18–21].

Among these formulations, the ones which involve higher spatial derivatives of the velocity field are of particular interest to this study. As a matter of fact, they may be helpful in the rapidly expanding theory of flow in microdevices, as well as in the area of microfluidics (cf. [22–24]). Generalized Newtonian theories of this type were studied by [22, 25, 26], while

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the higher derivative theories in [27–30]. In [31], it also has been proved that these theories are fully compatible with a generalized balance law of higher gradient powers.

To be more precise, the prime aim of the present paper is to analyze a class of generalized Navier–Stokes fluids having the viscous term involving not only the Laplacian of the velocity field, but also the bi- and the tri-Laplacian of the velocity field, this theory having been developed by [28]. We notice that theories of sixth order were previously considered by Nikolaevskiy [32] in the context of viscoelasticty and Beresnev and Nikolaevskiy [33] in the modeling of nonlinear seismic waves. The Nikolaevskiy equation has been more recently studied also in [34, 35]. Interestingly, a sixth-order thin-film equation appears in the context of reduced models for fluid-structure interaction systems (see [36, 37]).

In classical viscous fluid dynamics, the Cauchy stress tensor  $T_{ij}$  depends linearly on the symmetric part of the velocity gradient,

$$d_{ij} = \frac{1}{2} (v_{i,j} + v_{j,i}),$$

where  $\mathbf{v}(\mathbf{x},t)$  denotes the velocity field at a point  $\mathbf{x}$  at time t, and  $v_{i,j} \equiv \partial v_i/\partial x_j$ .

This theory is well-established for ordinary fluids, but for situations where the composition of the fluid may involve long molecules, or where the length scales involved are extremely small, the sixth-order theory of [28] may be very relevant. The new theory argues that the total stress tensor depends not just upon the velocity gradient, but also upon the first spatial derivative of the spatial gradient of the velocity, and also upon the second spatial derivatives of the gradient of the velocity, that is, upon  $v_{i,jk} \equiv \partial^2 v_i/\partial x_j \partial x_k$  and upon  $v_{i,jkh} \equiv \partial^3 v_i/\partial x_j \partial x_k \partial x_h$ .

This article studies the thermal convection in the sixth-order theory including temperature effects via a Boussinesq approximation (see, e.g., [38]). We analyze thermal convection, in particular both global nonlinear stability and linear instability theory, and we find that this leads to novel behavior. Indeed, stability in thermal convection is an area where many novel effects are being found (see, e.g., [39–42]). Novel results are being achieved especially using the nonlinear energy stability method (see, e.g., [43–46]). Moreover, thermal convection in fluids is yielding very novel and important results in the field of renewable energy, as pointed out in [47].

One of the key aspects of the present work is to pay particular attention to the correct form of boundary conditions needed when higher-order derivatives are present. A set of partial differential equations is generally useless if it is not completed by correct boundary conditions which are applicable to real-life situations and which describe the interaction with the outside. Since for the model we investigate the momentum equation involves the bi- and tri-Laplacian terms  $\Delta^2 v_i$ ,  $\Delta^3 v_i$ ,  $\Delta$  being the usual Laplace operator, finding the further boundary conditions for the problem is a difficult matter. In this regard, we appeal to the fundamental paper [27] regarding boundary conditions, although the extension to the present sixth-order theory [28] requires a new approach.

In this work, we present the model for thermal convection in a generalized sixth-order Navier–Stokes fluid, followed by its application to study Bénard convection—a phenomenon in which a layer of fluid, heated from below, exhibits convective motion. We examine the stability conditions for this problem from both a linear instability perspective and a nonlinear viewpoint using energetic methods. The boundary conditions are broken down into three main physically relevant cases and detailed numerical results are presented and interpreted.

## 2 | The Model for Convection With Higher-Order Spatial Derivatives

We start from [28, eq. 22] to study the convection problem, the system of equations describing the phenomena will also involve a relation for the temperature, that will be an energy balance that exploits the classical Boussinesq approximation [38]. The arising attention to phenomena occurring at small length scales justifies this generalization because terms with higher derivatives affect the behavior of the fluid at these orders. The main contribution in the literature to the description and analysis of a viscous flow in the setting of higher-order contributions is due to Fried and Gurtin [27], where second-gradient fluids and corresponding derivatives up to the fourth order are considered, with the definition of a hyperstress by a tensor of third order denoted by G; this is extended in [28] by the introduction of third gradients. They also carefully study possible boundary conditions for this kind of fluids, that are relevant because of the introduction of new terms with higher-order derivatives. This approach traces back to the work of Germain [48, 49], who first introduced higher-order derivatives in the virtual displacements in order to describe the microstructure of a body.

We proceed now to present the fundamental equations for an incompressible fluid considering also third gradients; we have the system of equations

$$\begin{cases} \frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla)\mathbf{v} = \mathbf{f} - \frac{1}{\rho}\nabla p + \nu\Delta\mathbf{v} - \hat{\xi}\Delta^2\mathbf{v} + \hat{\gamma}\Delta^3\mathbf{v} \\ \operatorname{div}\mathbf{v} = 0, \end{cases}$$
(1)

where  $\rho$  is constant being an incompressible fluid,  $\mathbf{f}$  is an external body force, p(x,t) is the effective pressure,  $\Delta$  is the Laplacian, and  $\nu$  is the positive constant kinematic viscosity of the fluid,  $\hat{\xi}, \hat{\gamma}$  are the positive coefficients of [28] divided by  $\rho$ .

We will denote a general point as  $\mathbf{x} = (x_1, x_2, x_3) \equiv (x, y, z)$  and the components of the velocity as  $\mathbf{v} = (v_1, v_2, v_3) \equiv (u, v, w)$ . For the formulas written in components, we will use Einstein convention.

To treat the coupling between velocity and temperature, we assume that  $\rho$  is linear in the temperature field, so that

$$\rho = \rho_0 \left[ 1 - \alpha (T - T_0) \right],\tag{2}$$

where  $\rho_0$  is a constant,  $\alpha$  denotes the thermal expansion coefficient of the fluid, and  $T_0$  is a reference temperature. The density is assumed constant everywhere else. Using the Boussinesq approximation, we obtain the system of equations

$$\begin{cases} \frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla)\mathbf{v} = -\frac{1}{\rho} \nabla p + \nu \Delta \mathbf{v} - \hat{\xi} \Delta^2 \mathbf{v} + \hat{\gamma} \Delta^3 \mathbf{v} + \alpha g T \mathbf{k} \\ \operatorname{div} \mathbf{v} = 0 \\ \frac{\partial T}{\partial t} + \mathbf{v} \nabla T = \kappa \Delta T, \end{cases}$$
(3)

where g denotes the gravity along the z-direction, with negative verse,  $\kappa$  represents the thermal diffusivity and  $\mathbf{k} = (0, 0, 1)$ . The problem of existence of a flow satisfying the isothermal model with bi-Laplacian is studied in [30] and [29]. Interesting instability results for the isothermal model (1) have been given by [50], assuming boundary conditions periodic in space. In this work, we will present the study of linear and nonlinear instability for the setting just presented for Bénard convection. As regards the possible boundary conditions to be assigned to the flow, we follow the approach of [27], completing them with the necessary modifications because of the presence of the tri-Laplacian, as in [28], that originates a richer structure. We will present and treat three classes of different boundary conditions, called strong adherence, weak adherence, and general adherence, inspired to the ones treated in [27] for second-gradient fluids.

#### 3 | Stationary State, Perturbation, and Adimensionalization of the Problem

The domain of the problem is the layer  $\{(x,y) \in \mathbb{R}^2\} \times \{0 < z < d\}$ . Let  $T_L$  and  $T_U$  be two constants such that  $T_L > T_U$ . We require that it holds  $v_3 = 0$  at z = 0, d, that the temperature is  $T = T_L$  at z = 0 and that the temperature is  $T = T_U$  at z = d.

The system of governing equations is satisfied by the basic state

$$\bar{v}_i \equiv 0, \qquad \bar{T} = -\beta z + T_L,$$
 (4)

where  $\beta$  is the temperature gradient, namely,

$$\beta = \frac{T_L - T_U}{d}$$

and  $\bar{p}$  can be determined from the momentum equation.

The stationary solution is denoted by  $(\bar{v}_i, \bar{T}, \bar{p})$  and we consider its perturbation denoted by  $(u_i, \theta, \pi)$ , with

$$v_i = \bar{v_i} + u_i, \quad T = \bar{T} + \theta, \quad p = \bar{p} + \pi,$$

where  $\bar{p}$  is the steady-state pressure. We adimensionalize the governing equations of the perturbation with the quantities

$$\begin{split} u_i &= u_i^* U, \qquad x_i = x_i^* d, \qquad t = t^* \mathcal{T}, \qquad \xi = \frac{\hat{\xi}}{\nu d^2}, \\ \theta &= \theta^* T^\sharp, \qquad \pi = \pi^* \tilde{P}, \qquad P = \frac{\rho_0 \nu U}{d}, \qquad \gamma = \frac{\hat{\gamma}}{d^2 \nu}, \\ T^\sharp &= U \sqrt{\frac{\beta \nu}{\kappa \alpha g}}, \qquad R \alpha = R^2 = \frac{\alpha \beta g d^4}{\kappa \nu}, \end{split}$$

where *Ra* is the Rayleigh number.

We thus obtain the adimensional equations for the perturbation:

$$\begin{cases} \frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla)\mathbf{u} = -\nabla \pi + \Delta \mathbf{u} - \xi \Delta^2 \mathbf{u} + \gamma \Delta^3 \mathbf{u} + R\theta \mathbf{k} \\ \operatorname{div} \mathbf{u} = 0 \\ Pr\left(\frac{\partial \theta}{\partial t} + \mathbf{u} \nabla \theta\right) = Rw + \kappa \Delta \theta, \end{cases}$$
(5)

where  $Pr = \nu/\kappa$  is the Prandtl number.

## 4 | Boundary Conditions

Although we follow the development of boundary conditions as in [27], it is necessary to incorporate the structure presented by the sixth-order theory of [28]. This leads to a different set of boundary conditions from those obtained for the four derivatives model of [27] and [28]. As stressed in [27], the importance of the boundary conditions is not only a matter of correct physical description, but also key to any stability study. The external power on a body is that generated by the tractions and velocities on the boundary together with any external body forces, while the internal power is that generated by internal stresses paired with velocity gradients. The principle of virtual power requires these to be equal on any control volume, see [27, p. 522] and a dissipation inequality is provided by the free energy imbalance. In other words, the temporal increase in free energy of an arbitrary region in the body is less than or equal to the power expended in that region, [27, p. 528]. This allowed [30] to obtain restrictions on coefficients of the power expended and essentially in our case leads to the right-hand side of (24) being nonnegative. This is very important for asymptotic stability.

The topic of boundary conditions in thermal convection has garnered significant recent interest. It is essential to take great care in selecting the form of boundary conditions to properly represent the physical problem at hand (cf. [51–57]).

The governing equations for the perturbation variables are presented in (5). The solution is supposed to be periodic in x, y and satisfies a plane tiling planform, typically having a hexagonal shape (cf. the detailed discussion in [58, pp. 43–52]). The temperature boundary conditions are as usual

$$\theta = 0 \qquad \text{on} \quad z = 0, 1. \tag{6}$$

Much more care has to be taken with the boundary conditions for the velocity perturbation  $u_i$ . We denote by V a convection cell, which is typically hexagonal, and the boundary intersecting with z=0 will be denoted by  $\Gamma_1$ , while the boundary intersecting with z=d by  $\Gamma_2$ .

The discussion below applies to boundary conditions for the perturbation velocity. Since the boundary conditions for the sixth-order case were not derived by [28], we first derive the correct boundary conditions for a general three-dimensional domain  $\Omega$  having  $\Gamma$  as boundary, and the general boundary conditions are specialized to the thermal convection problem between two planes afterward.

Equation (5) has, in general, the form

$$u_{i,t} + u_j u_{i,j} = \sigma_{ij,j} + R\theta k_i, \tag{7}$$

where  $\sigma_{ij}$  is the total stress tensor. This tensor is composed of three parts. There is the symmetric Cauchy stress,  $T_{ij}$ , which has the classical expression

$$T_{ij} = -\tilde{\pi}\delta_{ij} + T^0_{ij} = -\tilde{\pi}\delta_{ij} + 2d_{ij},$$

where  $\tilde{\pi}$  is the fluid pressure, and  $T_{ij}^0$  is the symmetric extra stress which depends only on  $d_{ij}$ . Notice that usually there is a coefficient  $\mu$ , representing the dynamic viscosity, in front of  $d_{ij}$  but this is normalized due to our nondimensionalization. To handle the extra complexity of the second gradients of  $u_i$ , [27] and [28] introduce a third-order tensor which [27] refer to as a hyperstress,  $G_{ijk}$ , which has the form

$$G_{ijk} = -\tilde{\pi}_k \delta_{ij} + G^0_{ijk}, \tag{8}$$

where  $\tilde{\pi}_k$  is a pressure vector and  $G^0_{ijk}$  is the extra hyperstress involving only  $u_{i,jk}$ . To incorporate the extra complexity involved with the third gradients of  $u_i$ , [28] introduces a fourth-order tensor  $H_{ijkh}$  of the form

$$H_{ijkh} = -\delta_{ij}\Pi_{kh} + H^0_{ijkh},$$

where  $\Pi_{kh}$  is a pressure tensor and  $H^0_{ijkh}$  is the fourth-order extra stress which involves only  $u_{i,jkh}$ .

The total stress which arises in Equation (5) is  $\sigma_{ij} = T_{ij} - G_{ijk,k} + H_{ijkh,hk}$  and this yields the terms  $-\pi_{,i} + \Delta u_i - \xi \Delta^2 u_i + \gamma \Delta^3 u_i$ , that is, in Equation (5),

$$\sigma_{ij,j} = -\pi_{,i} + \Delta u_i - \xi \Delta^2 u_i + \gamma \Delta^3 u_i = (T_{ij} - G_{ijk,k} + H_{ijkh,hk})_{,j},$$
(9)

where  $\pi = \tilde{\pi} + \tilde{\pi}_{k,k} + \Pi_{kh,hk}$  is the total pressure.

Musesti [28, p. 87] writes: "A discussion about boundary conditions of third order fluids [...] would be desirable." To analyze thermal convection, it is essential and so we now do this. To develop a complete set of boundary conditions, we commence by multiplying  $\sigma_{ij,j}$  by  $u_i$  and integrate over the domain  $\Omega$ . Let  $\|\cdot\|$  and  $(\cdot, \cdot)$  denote the norm and inner product on  $L^2(\Omega)$ . Throughout, we suppose we have no-slip boundary conditions so that  $u_i = 0$  on  $\Gamma$ . Under these conditions,  $(u_i, \pi_{,i}) = -(u_{i,i}, \pi) = 0$ . Hence, we obtain after integration by parts and use of the boundary conditions on  $u_i$ ,

$$(\sigma_{ij,j}, u_i) = -(\sigma_{ij}, u_{i,j}) = -(T_{ij}^0, u_{i,j}) + (G_{iik,k}^0, u_{i,j}) - (H_{iikh,hk}^0, u_{i,j}).$$

$$(10)$$

We deal with each integral on the right of (10) below. First,

$$-(T_{ij}^0, u_{i,j}) = -2(d_{ij}, u_{i,j}) = -\|\nabla \mathbf{u}\|^2.$$
(11)

Second,

$$(G_{ijk,k}^{0}, u_{i,j}) = -(G_{ijk}^{0}, u_{i,jk}) + \oint_{\Gamma} G_{ijk}^{0} n_{k} u_{i,j} dA, \qquad (12)$$

where dA denotes the element of integration over  $\Gamma$ .

To handle the boundary term, we follow the notation of [59] and we denote with  $\alpha = 1, 2$  the indices with respect to the two surface coordinates on  $\Gamma$  and let  $a^{\alpha\beta}$  be the fundamental surface tensor for the surface  $\Gamma$ . This allows one to write on  $\Gamma$ ,

$$u_{i,j} = n_j \frac{\partial u_i}{\partial n} + x_{j;\alpha} a^{\alpha\beta} u_{i;\beta}, \qquad (13)$$

where ;  $\alpha$  denotes surface covariant differentiation, that is, it allows one to split  $u_{i,j}$  into components normal to the surface  $\Gamma$ , and tangential to this surface. Since  $u_i \equiv 0$  on  $\Gamma$ , the tangential components, which are derivatives along the directions

of the surface coordinates, are zero. Hence, (12) becomes

$$(G_{ijk,k}^0, u_{i,j}) = -(G_{ijk}^0, u_{i,jk}) + \oint_{\Gamma} m_i \frac{\partial u_i}{\partial n} dA, \qquad (14)$$

where

$$m_i = G^0_{ijk} n_k n_j \,. \tag{15}$$

We now employ integration by parts to derive an expression for the  $H_{ijkh}$  term. We may derive

$$-(H_{ijkh,hk}^{0},u_{i,j}) = (H_{ijkh,h}^{0},u_{i,jk}) - \oint_{\Gamma} H_{ijkh,h}^{0} n_k n_j \frac{\partial u_i}{\partial n} dA = -(H_{ijkh}^{0},u_{i,jkh}) + \oint_{\Gamma} H_{ijkh}^{0} u_{i,jk} n_h dA - \oint_{\Gamma} H_{ijkh,h} n_k n_j \frac{\partial u_i}{\partial n} dA.$$

$$(16)$$

Treatment of the second integral on the right of (16) is quite involved. We repeatedly use the decomposition (13) into normal and tangential components on  $\Gamma$ . Write

$$I = \oint_{\Gamma} H^{0}_{ijkh} u_{i,jk} n_h \, dA = I_1 + I_2, \tag{17}$$

where

$$I_1 = \oint_{\Gamma} H^0_{ijkh} \, n_h \, \frac{\partial}{\partial n} (u_{i,j}) \, n_k \, dA \,, \tag{18}$$

and

$$I_2 = \oint_{\Gamma} H^0_{ijkh} \, n_h x_{k;\alpha} a^{\alpha\beta} \, (u_{i,j})_{;\beta} \, dA \,. \tag{19}$$

The term  $I_1$  is written as

$$\begin{split} I_1 &= \oint_{\Gamma} H^0_{ijkh} n_k n_h n_q u_{i,jq} dA \\ &= \oint_{\Gamma} H^0_{ijkh} n_k n_h n_q (u_{i,q})_{,j} dA \\ &= \oint_{\Gamma} H^0_{ijkh} n_k n_h n_q \frac{\partial}{\partial n} (u_{i,q}) n_j dA + \oint_{\Gamma} H^0_{ijkh} n_k n_h n_q x_{j;\alpha} a^{\alpha\beta} (u_{i,q})_{;\beta} dA. \end{split}$$

Then,

$$I = \oint_{\Gamma} H_{ijkh}^0 n_j n_k n_h \frac{\partial^2 u_i}{\partial n^2} dA + J_1 + J_2,$$

where

$$J_{1} = \oint_{\Gamma} H^{0}_{ijkh} n_{h} x_{k;\alpha} a^{\alpha\beta} (u_{i,j})_{;\beta} dA,$$

$$J_{2} = \oint_{\Gamma} H^{0}_{ijkh} n_{h} n_{k} n_{q} x_{j;\alpha} a^{\alpha\beta} (u_{i,q})_{;\beta} dA.$$

This procedure leads to

$$J_{1} = -\oint_{\Gamma} H^{0}_{ijkh,m} n_{h} x_{m;\beta} x_{k;\alpha} a^{\alpha\beta} u_{i,j} dA - \oint_{\Gamma} H^{0}_{ijkh} n_{h;\beta} x_{k;\alpha} a^{\alpha\beta} u_{i,j} dA - \oint_{\Gamma} H^{0}_{ijkh} n_{h} x_{k;\alpha\beta} a^{\alpha\beta} u_{i,j} dA.$$

We now need to employ the Gauss equations  $x_{i;\alpha\beta} = b_{\alpha\beta}n_i$  and the Gauss–Weingarten relations  $n_{i;\alpha} = -b_{\alpha}^{\beta}x_{i;\beta}$ , where  $b_{\alpha\beta}$  arises in the second fundamental form of the surface  $\Gamma$ . In this way, we derive

$$J_{1} = -\oint_{\Gamma} H^{0}_{ijkh,m} n_{h} x_{m;\beta} x_{k;\alpha} a^{\alpha\beta} u_{i,j} dA + \oint_{\Gamma} H^{0}_{ijkh} b^{\xi}_{\beta} x_{h;\xi} x_{k;\alpha} a^{\alpha\beta} u_{i,j} dA - \oint_{\Gamma} H^{0}_{ijkh} n_{h} n_{k} b^{\alpha}_{\alpha} u_{i,j} dA, \tag{20}$$

where  $2H = b_{\alpha}^{\alpha} = a^{\alpha\beta}b_{\alpha\beta}$  is twice the mean curvature of the surface  $\Gamma$ . A similar calculation with  $J_2$  leads to

$$J_{2} = -\oint_{\Gamma} H_{ijkh,m}^{0} n_{k} n_{h} n_{q} x_{m;\beta} x_{j;\alpha} a^{\alpha\beta} u_{i,q} dA$$

$$-\oint_{\Gamma} H_{ijkh}^{0} x_{j;\alpha} \left[ n_{k;\beta} n_{h} n_{q} + n_{k} n_{q} n_{h;\beta} + n_{k} n_{h} n_{q;\beta} \right] a^{\alpha\beta} u_{i,q} dA$$

$$-\oint_{\Gamma} H_{ijkh}^{0} n_{k} n_{h} n_{q} x_{j;\alpha\beta} a^{\alpha\beta} u_{i,q} dA$$

$$= -\oint_{\Gamma} H_{ijkh,m}^{0} n_{k} n_{h} x_{m;\beta} x_{j;\alpha} a^{\alpha\beta} \frac{\partial u_{i}}{\partial n} dA$$

$$+\oint_{\Gamma} H_{ijkh}^{0} x_{j;\alpha} \left[ b_{\beta}^{\xi} x_{k;\xi} n_{h} + b_{\beta}^{\xi} x_{h;\xi} n_{k} \right] a^{\alpha\beta} \frac{\partial u_{i}}{\partial n} dA$$

$$+\oint_{\Gamma} H_{ijkh}^{0} b_{\beta}^{\xi} x_{q;\xi} a^{\alpha\beta} n_{k} n_{h} x_{j;\alpha} u_{i,q} dA$$

$$-\oint_{\Gamma} H_{ijkh}^{0} b_{\alpha}^{\alpha} n_{k} n_{h} n_{j} \frac{\partial u_{i}}{\partial n} dA. \tag{21}$$

We now use expression (13) in (20) and (21) and recognize that the tangential parts of  $u_{i,q}$  yield zero contribution. In this way, combining (16), (17), (18), (19), (20), and (21), we may see that

$$-(H^0_{ijkh,hk}, u_{i,j}) = -(H^0_{ijkh}, u_{i,jkh}) + \oint_{\Gamma} h_i \frac{\partial^2 u_i}{\partial n^2} dA + \oint_{\Gamma} q_i \frac{\partial u_i}{\partial n} dA, \qquad (22)$$

where

$$q_{i} = -H_{ijkh,h}^{0} n_{k} n_{j} - H_{ijkh,m}^{0} n_{j} n_{h} a^{\alpha\beta} x_{m;\beta} x_{k;\alpha}$$

$$-H_{ijkh,m}^{0} n_{k} n_{h} a^{\alpha\beta} x_{m;\beta} x_{j;\alpha} + H_{ijkh}^{0} b^{\xi\alpha} x_{h;\xi} x_{k;\alpha} n_{j}$$

$$-H_{ijkh}^{0} n_{h} n_{k} n_{j} b_{\alpha}^{\alpha} + H_{ijkh}^{0} x_{j;\alpha} b_{\beta}^{\xi} (n_{h} x_{k;\xi} + n_{k} x_{h;\xi}) a^{\alpha\beta}$$

$$+H_{ijkh}^{0} n_{k} n_{h} n_{q} x_{j;\alpha} x_{q;\xi} b^{\xi\alpha} - H_{ijkh}^{0} b_{\alpha}^{\alpha} n_{k} n_{h} n_{j}.$$

$$(23)$$

Finally, we obtain from (10), (11), (14), and (22),

$$(\sigma_{ij,j}, u_i) = -\|\nabla \mathbf{u}\|^2 - (G_{ijk}^0, u_{i,jk}) - (H_{ijkh}^0, u_{i,jkh}) + \oint_{\Gamma} h_i \frac{\partial^2 u_i}{\partial n^2} dA + \oint_{\Gamma} p_i \frac{\partial u_i}{\partial n} dA, \qquad (24)$$

where

$$h_i = H_{ijkh}^0 n_j n_k n_h$$
 and  $p_i = m_i + q_i$ .

To employ (24) in practice, we need expressions for  $G_{ijk}^0$  and  $H_{ijkh}^0$  and these are given by [28]. They have the form

$$G_{ijk}^{0} = \eta_{1} u_{i,jk} + \eta_{2} (u_{j,ik} + u_{k,ij} - \delta_{jk} \Delta u_{i}) + \eta_{3} (\delta_{ij} \Delta u_{k} + \delta_{ik} \Delta u_{j} - 4\delta_{jk} \Delta u_{i}),$$
 (25)

$$H_{ijkh}^{0} = \mathfrak{A}(\delta_{ij}[\Delta u_{k,h} + \Delta u_{h,k}] + \delta_{ik}[\Delta u_{j,h} + \Delta u_{h,j}] + \delta_{ih}[\Delta u_{k,j} + \Delta u_{j,k}])$$

$$+ \mathfrak{D}(\delta_{jk}\Delta u_{i,h} + \delta_{jh}\Delta u_{i,k} + \delta_{kh}\Delta u_{i,j})$$

$$+ \mathfrak{E}u_{i,jkh} + \mathfrak{F}(\delta_{kh}\Delta u_{j,i} + \delta_{jk}\Delta u_{h,i} + \delta_{jh}\Delta u_{k,i})$$

$$+ \mathfrak{G}(u_{j,ikh} + u_{k,ijh} + u_{h,ijk}).$$
(26)

The coefficients  $\eta_1, \eta_2$ , and  $\eta_3$  satisfy the relation

$$\xi = \eta_1 - \eta_2 - 4\eta_3 \ge 0,$$

and, by identifying 18 individual components and a very clever splitting of the matrix in a quadratic form, in [30] the optimal conditions for the dissipation inequality are given:

$$\eta_1 + 2\eta_2 \ge 0, \qquad \eta_1 - \eta_2 \ge 0, \qquad \eta_1 - \eta_2 - 6\eta_3 - 2\sqrt{\eta_2^2 + 4\eta_2\eta_3 + 9\eta_3^2} \ge 0.$$

The coefficients  $\mathfrak{A}, \mathfrak{D}, \mathfrak{E}, \mathfrak{F}$ , and  $\mathfrak{G}$  satisfy

$$\gamma = 3\mathfrak{D} + \mathfrak{E} \geq 0$$
.

We may now define various classes of boundary conditions for a sixth-order fluid. We define  $h_i = H_{ijkh}n_jn_kn_h$ . We only deal with no-slip conditions, and we say that the boundary conditions are of *strong adherence* type if

$$u_i = 0$$
,  $\frac{\partial u_i}{\partial n} = 0$ ,  $\frac{\partial^2 u_i}{\partial n^2} = 0$  on  $\Gamma$ 

They are of weak adherence type if

$$u_i = 0, \ \frac{\partial u_i}{\partial n} = 0, \ h_i = 0$$
 on  $\Gamma$ .

They are of general adherence type if

$$u_i = 0$$
,  $\frac{\partial u_i}{\partial n} = 0$ ,  $h_i = -\ell \frac{\partial^2 u_i}{\partial n^2}$  on  $\Gamma$ ,

where  $\ell > 0$  is a constant to be prescribed.

In this work, we concentrate on the above three types of boundary conditions and we refer to these as cases I, II and III, respectively.

The sixth-order theory presented in [28] has a richer structure, and one may define a further four types of no-slip boundary conditions. These are

$$u_i = 0, h_i = 0, p_i = 0$$
 on  $\Gamma$ ,

then for a constant  $\ell_1 > 0$ ,

$$u_i = 0, \ h_i = -\ell_1 \frac{\partial^2 u_i}{\partial n^2}, \ p_i = 0$$
 on  $\Gamma_i$ 

or for a constant  $\ell_2 > 0$ ,

$$u_i = 0$$
,  $h_i = 0$ ,  $p_i = -\ell_2 \frac{\partial u_i}{\partial n}$ , on  $\Gamma$ ,

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$$u_i = 0, \ h_i = -\ell_1 \frac{\partial^2 u_i}{\partial n^2}, \ p_i = -\ell_2 \frac{\partial u_i}{\partial n}$$
 on  $\Gamma$ .

We do not treat the latter four classes of boundary conditions in the context of thermal convection, they involve explicit values of the Musesti coefficients  $\eta_1, \eta_2, \eta_3, \mathfrak{A}, \mathfrak{D}, \mathfrak{E}, \mathfrak{F}$ , and  $\mathfrak{G}$ . However, these boundary conditions should prove to be of importance in microfluidic situations and will be the subject of future work. We finally mention that one could also produce a further class of boundary conditions corresponding to the stress vector being zero on a surface Γ. The necessary details may be worked out in a similar manner to the above.

## 5 | Nonlinear Stability

We now focus on the Musesti model and collect the perturbation equations. We recall that system (5) gives

$$u_{i,t} + u_j u_{i,j} = -\pi_{,i} + R\theta k_i + \Delta u_i - \xi \Delta^2 u_i + \gamma \Delta^3 u_i,$$

$$u_{i,i} = 0,$$

$$Pr(\theta_{,t} + u_i \theta_{,i}) = Rw + \Delta \theta,$$
(27)

where  $\pi$  collects the contributions of  $\tilde{\pi}$ ,  $\tilde{\pi}_k$ , and  $\Pi_{ik}$ .

Equations (27) are defined on the domain  $\mathbb{R}^2 \times \{z \in (0,1)\} \times \{t > 0\}$ . Denote by  $\|\cdot\|$  and  $(\cdot, \cdot)$  the norm and inner product on  $L^2(V)$ . We now rewrite system (27), together with any of the boundary conditions I, II, or III, in the form of an abstract operator equation

$$BU_t + LU + N(U) = 0, (28)$$

where  $U = (u, v, w, \theta, \pi)^T$  and where B is a linear symmetric operator, L is an unbounded linear operator and N(U) represents the nonlinear terms, defined on a dense domain of the Hilbert space  $H = [L^2(V)]^4$  (cf. [60, pp. 212–215]). In the present case, the operator B has form  $B = diag\{1, 1, 1, Pr, 0\}$ , the nonlinearities N(U) consist of  $u_j u_{i,j}$  and  $u_i \theta_{,i}$ , while L is represented by the right-hand side of (27). The solution is understood to be periodic in x, y and the components of  $u_i$  in addition to being zero on z = 0, 1 also satisfy boundary conditions of case I, II, or III.

We start by deriving a general energy equality. We multiply  $(27)_1$  by  $u_i$  and integrate over V and then multiply  $(27)_3$  by  $\theta$  and integrate over V. Upon employing the boundary condition I or II, we may obtain the energy equation

$$\frac{d}{dt} \left( \frac{1}{2} \|\mathbf{u}\|^2 + \frac{Pr}{2} \|\theta\|^2 \right) = 2R(\theta, w) - \|\nabla \mathbf{u}\|^2 - \|\nabla \theta\|^2 - Y, \tag{29}$$

where the stabilizing term involving the second and third spatial gradients is defined by

$$Y = (G_{ijk}^0, u_{i,jk}) + (H_{ijkh}^0, u_{i,jkh}).$$

In the situation where boundary conditions III are employed, it is necessary to add

$$-\ell \oint_{\partial V} \frac{\partial^2 u_i}{\partial n^2} \, \frac{\partial^2 u_i}{\partial n^2} \, dA$$

to the right-hand side of (29).

The tensors  $G_{ijk}^0$  and  $H_{ikjh}^0$  are given in (25) and (26) and it is useful to introduce the symmetric and skew-symmetric parts of  $u_{i,j}$ :

$$d_{ij} = \frac{1}{2} \left( u_{i,j} + u_{j,i} \right), \qquad \omega_{ij} = \frac{1}{2} \left( u_{i,j} - u_{j,i} \right).$$

We can now rewrite Y (i.e., the higher-order gradient term) as

$$Y = \eta_{1}(u_{i,jk}, u_{i,jk}) + 2\eta_{2} \left[ (d_{ij,k}, d_{ij,k}) - (\omega_{ij,k}, \omega_{ij,k}) \right] - (\eta_{2} + 4\eta_{3}) \|\Delta \mathbf{u}\|^{2}$$

$$+ 3\mathfrak{D}(\Delta u_{i,k}, \Delta u_{i,k}) + \mathfrak{C}(u_{i,jkh}, u_{i,jkh}) + 3\mathfrak{F}(\Delta u_{i,j}, \Delta u_{j,i}) + 3\mathfrak{G}(u_{i,jkh}, u_{j,ikh}),$$

$$= (\eta_{1} + 2\eta_{2})(d_{ij,k}, d_{ij,k}) + (\eta_{1} - 2\eta_{2})(\omega_{ij,k}, \omega_{ij,k}) - (\eta_{2} + 4\eta_{3}) \|\Delta \mathbf{u}\|^{2}$$

$$+ 3(\mathfrak{D} + \mathfrak{F})(\Delta d_{ij}, \Delta d_{ij}) + 3(\mathfrak{D} - \mathfrak{F})(\Delta \omega_{ij}, \Delta \omega_{ij})$$

$$+ (\mathfrak{C} + 3\mathfrak{G})(d_{ij,kh}, d_{ij,kh}) + (\mathfrak{C} - 3\mathfrak{G})(\omega_{ij,kh}, \omega_{ij,kh}).$$
(30)

The right-hand side of (29) together with (30) allows us to introduce a bilinear form on H. Let  $U = (u^1, v^1, w^1, \theta, \pi^1)^T$  and  $W = (u^2, v^2, w^2, \phi, \pi^2)^T$  be solutions to (27) subject to boundary conditions of case I, II, or III. Let  $d_{ij}$ ,  $\omega_{ij}$  be the symmetric and skew-symmetric parts of  $u_{i,j}^1$ , and let  $e_{ij}$ ,  $\zeta_{ij}$  be the symmetric and skew-symmetric parts of  $u_{i,j}^2$ . Then define a bilinear form on H by

$$(W, LU) = 2R \left[ (w^{2}, \theta) + (w^{1}, \phi) \right] - (\nabla \mathbf{u}^{1}, \nabla \mathbf{u}^{2}) - (\nabla \theta, \nabla \phi)$$

$$- (\eta_{1} + 2\eta_{2})(d_{ij,k}, e_{ij,k}) - (\eta_{1} - 2\eta_{2})(\omega_{ij,k}, \zeta_{ij,k})$$

$$+ (\eta_{2} + 4\eta_{3})(\Delta \mathbf{u}^{1}, \Delta \mathbf{u}^{2}) - 3(\mathfrak{D} + \mathfrak{F})(\Delta d_{ij}, \Delta e_{ij})$$

$$- 3(\mathfrak{D} - \mathfrak{F})(\Delta \omega_{ij}, \Delta \zeta_{ij}) - (\mathfrak{E} + 3\mathfrak{G})(d_{ij,kh}, e_{ij,kh})$$

$$- (\mathfrak{E} - 3\mathfrak{G})(\omega_{ij,kh}, \zeta_{ij,kh}) - \ell \oint_{\partial V} \frac{\partial^{2} \mathbf{u}^{1}}{\partial n^{2}} \cdot \frac{\partial^{2} \mathbf{u}^{2}}{\partial n^{2}} dA,$$

$$(31)$$

where the boundary term is present in case III, but is not present for cases I and II. We have that L is a symmetric operator, because one can easily prove that (W, LU) = (U, LW). Moreover,

$$(U, N(U)) = (u_i u_{i,j}, u_i) + (u_i \theta_{,i}, \theta) = 0,$$

as may be seen by integration by parts, the fact that  $u_{i,i}=0$ , and use of the boundary conditions, and the resulting nonlinear stability is global. Therefore, following [60], we have that the linear threshold for instability is equal to the threshold for nonlinear stability. Actually, this may also be seen by examining the linear theory and showing that the growth rate is zero. In particular, denoting by  $\sigma$  the growth rate in a representation of time like  $e^{\sigma t}$  and the complex conjugate of U by  $U^*$ , we have  $\sigma(U^*,BU)=(U^*,LU)$  which gives  $\mathrm{Im}\sigma=0$ . Then, the energy equation can be adjusted and one can determine the critical Rayleigh number for nonlinear energy stability by using the dissipation terms and the maximum of the production. With this procedure, one obtains the same Euler–Lagrange equations that appear in the linear instability equations. Nevertheless, the main finding is that the nonlinear stability issue can be fully captured by determining the threshold of instability through linear instability theory.

Remark. Regarding case I, the domain D(L) of the operator L consists of  $\{\mathbf{u} \in (W_0^{3,2}(V))^3\} \times \{\theta \in W_0^{1,2}(V)\}$  and the relevant functional spaces for addressing the existence and uniqueness of a solution are analogous to those carefully introduced by [29, Section 7.2.2] for a similar problem, which considers only the second and fourth derivatives. Existence and uniqueness of a solution in case I can be established by following the approach in [61], with the key modification of adding a third Laplacian to the fluid equation and dropping the bi-Laplacian term in the temperature equation, using the analytical techniques outlined in [29]. For cases II and III, existence theory becomes more complicated: proving existence and uniqueness under these boundary conditions would shift the focus of this paper, which aims to provide a concise yet comprehensive analysis of the problem. This aspect will be addressed in a forthcoming study. However, in the interests of analyzing convection scenarios within the current model, we study here the impact of these cases on the stability.

## 6 | Assessment of the Critical Rayleigh Number Associated With Instability

The standard approach to determine the critical Rayleigh numbers for instability involves linearizing (27) and searching for solutions of the form

$$u_i = u_i(\mathbf{x})e^{\sigma t}, \qquad \theta = \theta(\mathbf{x})e^{\sigma t}, \qquad \pi = \pi(\mathbf{x})e^{\sigma t}.$$

Here, we follow this approach, noting that since  $\sigma \in \mathbb{R}$ , the threshold for linear stability occurs at  $\sigma = 0$ . We proceed by linearizing, setting  $\sigma = 0$ , and then applying the curl-curl operator to  $(27)_1$  to eliminate  $\pi$ . Retaining only the third component of the resulting expression, we arrive at the following system that must be solved:

$$0 = -\Delta^2 w + \xi \Delta^3 w - \gamma \Delta^4 w - R \Delta^* \theta,$$
  
$$0 = Rw + \Delta \theta.$$

where  $\Delta^* = \partial^2/\partial x^2 + \partial/\partial y^2$ . We solve this system numerically using a Chebyshev tau method. It is preferable to work with the following equivalent system to mitigate issues with spurious eigenvalues:

$$0 = -\Delta^2 w + \xi \Delta^3 w - \gamma \Delta^4 w - R^2 \Delta^* \theta,$$
  

$$0 = w + \Delta \theta,$$
(32)

where  $R^2 = Ra$ . The system will be solved with the following boundary conditions, corresponding to cases I, II, and III.

#### 6.1 | Boundary Conditions for Case I

Here,

$$u_i = 0$$
,  $\frac{\partial u_i}{\partial n} = 0$ ,  $\frac{\partial^2 u_i}{\partial n^2} = 0$ , on  $z = 0, 1$ ,  $i = 1, 2, 3$ ,

while periodic boundary conditions are applied along the lateral walls of the cell V. Hence,

$$w = 0$$
,  $w_z = 0$ ,  $w_{zz} = 0$ ,  $u_{zz} = 0$ ,  $v_{zz} = 0$ , on  $z = 0, 1$ .

Due to the fact that  $u_x + v_y + w_z = 0$  in V, then we have (on z = 0, 1,):

$$u_{xzz} + v_{yzz} + w_{zzz} = 0,$$

hence  $w_{zzz} = 0$  on z = 0, 1. Thus, the following are the boundary conditions for case I:

$$w = w_z = w_{zz} = w_{zzz} = 0, z = 0, 1.$$
 (33)

## 6.2 | Boundary Conditions for Case II

In this case,

$$u_i = 0,$$
  $\frac{\partial u_i}{\partial n} = 0,$   $h_i = 0,$  on  $z = 0, 1,$ 

where i = 1, 2, 3 and  $h_i = H_{ijkh}n_in_kn_h$  with  $\mathbf{n} = (0, 0, 1)$ . Since

$$w = 0, w_z = 0, u_z = 0, v_z = 0$$
 on  $z = 0, 1,$ 

$$w = 0, w_z = 0, w_{zz} = 0$$
 on  $z = 0, 1$ .

In addition for  $h_{\alpha} = 0$ ,  $\alpha = 1, 2$ , we find on z = 0, 1,

$$h_{\alpha} = H_{\alpha333}^0 = 3\mathfrak{D}\Delta u_{\alpha,z} + \mathfrak{C}u_{\alpha,zzz} + 3\mathfrak{F}\Delta w_{,\alpha} + 3\mathfrak{C}w_{,zz\alpha} = 0, \quad \text{on } z = 0, 1.$$

Differentiate the equation for  $\alpha = 1$  by x, differentiate the equation for  $\alpha = 2$  by y, and then use the continuity equation to see that on z = 0, 1,

$$-(3\mathfrak{D} + \mathfrak{E})w_{zzzz} + 3(\mathfrak{F} + \mathfrak{G} - \mathfrak{D})\Delta^*w_{zz} = 0.$$

Since  $w_{zz} = 0$  on z = 0, 1, it follows that  $w_{zzzz} = 0$  there. Hence the boundary conditions for case II are

$$w = w_z = w_{zz} = w_{zzzz} = 0, z = 0, 1.$$
 (34)

*Remark.* To determine the pressure vector component  $\Pi_{33}$ , the boundary condition  $h_3 = 0$  is used.

## 6.3 | Boundary Conditions for Case III

Here,

$$u_i = 0,$$
  $\frac{\partial u_i}{\partial n} = 0,$   $h_i = -\ell \frac{\partial^2 u_i}{\partial n^2},$  on  $z = 0, 1,$ 

where i = 1, 2, 3 and

$$h_i = H_{ijkh} n_j n_k n_h = H_{i333} = -\Pi_{33,3i} + 6 \mathfrak{A} \delta_{i3} \Delta w_{,3} + 3 \mathfrak{D} \Delta u_{i,3} + \mathfrak{E} u_{i,333} + 3 \mathfrak{F} \Delta w_{,i} + 3 \mathfrak{G} w_{,33i}.$$

Using  $h_1$ ,  $h_2$ , and the continuity equation, we can apply similar reasoning as above to demonstrate that the general adherence boundary conditions are

$$w = w_z = w_{zz} = 0,$$
  $\gamma w_{zzzz} + \ell w_{zzz} = 0,$ 

on z = 0, 1. Therefore, the general adherence boundary conditions are

$$w = 0$$
,  $w_z = 0$ ,  $w_{zz} = 0$ ,  $w_{zzz} + qw_{zzz} = 0$ , on  $z = 0, 1$ , (35)

where  $q = \ell / \gamma > 0$ .

## 7 | Numerical Methods and Numerical Results

To solve Equations (32) under boundary conditions I-III, we use a Chebyshev tau method (see [62]). For this, we express

$$w = W(z)h(x, y), \quad \theta = \Theta(z)h(x, y),$$

where *h* satisfies  $\Delta^* h = -a^2 h$ , where *a* is a wavenumber.

We solve (32) for the eigenvalues Ra by writing these equations as a system

$$(D^2 - a^2)W = \chi,$$

$$(D^2 - a^2)\chi = \psi,$$

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$$(D^{2} - a^{2})\psi = \eta,$$

$$(D^{2} - a^{2})\eta + \frac{1}{\gamma}\psi - \frac{\xi}{\gamma}\eta = Ra\frac{a^{2}}{\gamma}\Theta,$$

$$(D^{2} - a^{2})\Theta + W = 0,$$
(36)

where D = d/dz. The boundary conditions may now be written in the form

Case I 
$$\Theta = W = DW = \chi = D\chi = 0, \qquad z = 0, 1,$$
Case II 
$$\Theta = W = DW = \chi = \psi = 0, \qquad z = 0, 1,$$
Case III 
$$\Theta = W = DW = \chi = 0, \quad \psi + qD\gamma = 0, \qquad z = 0, 1.$$

The solution to (36) is expressed as a sum of Chebyshev polynomials in the form

$$W = \sum_{i=0}^{N} W_i T_i(z),$$

$$\chi = \sum_{i=0}^{N} \chi_i T_i(z),$$

$$\psi = \sum_{i=0}^{N} \psi_i T_i(z),$$

$$\eta = \sum_{i=0}^{N} \eta_i T_i(z),$$

$$\Theta = \sum_{i=0}^{N} \Theta_i T_i(z).$$

This generates a generalized matrix eigenvalue problem of the following form:

$$A\mathbf{x} = Ra\,B\mathbf{x},$$

where

$$\mathbf{x} = (W_0, \dots, W_N, \chi_0, \dots, \chi_N, \psi_0, \dots, \psi_N, \eta_0, \dots, \eta_N, \Theta_0, \dots, \Theta_N).$$

The boundary conditions are directly integrated into the appropriate rows of the system matrix A. The resulting matrix eigenvalue problem is then solved using the QZ algorithm of [63].

We now report on numerical results for Equations (27). The values of  $\xi$  and  $\gamma$  selected are chosen to display the type of behavior witnessed. The typical new behavior is found for  $\gamma$  very small, while  $\xi$  is also small it is comparatively larger. For larger values of the parameters displayed here the stabilizing effects are seen with very large values of critical Rayleigh number. Numerical results for boundary conditions of type I are given in Table 1 whereas those for boundary conditions of type II are included in Table 2. Figures 1 and 2 show graphical output for the critical Rayleigh number and for the critical wavenumber for strong and weak adherence boundary conditions. It is seen that for the strong adherence boundary conditions, case I, the critical Rayleigh number increases strongly for  $\gamma$  as shown in the first five values of Table 1, whereas the growth of the Rayleigh number is still increasing but at a much lesser rate when the variation is in  $\xi$ , as seen by comparison with the next six values in Table 1. Clearly, the  $\gamma$  term which represents the tri-Laplacian has a stronger stabilizing effect than the  $\xi$  term which is for the bi-Laplacian. Physically, this is to be expected. The dominance of  $\gamma$  over  $\xi$  continues to be observed in Table 2 which is for weak adherence boundary conditions, although the rate of growth in the critical values of Ra is less pronounced.

**TABLE 1** | Critical Rayleigh and wave numbers for boundary conditions of Case I, strong adherence, versus  $\gamma$ ,  $\xi$ . The classical values which hold for  $\gamma = 0$ ,  $\xi = 0$  and fixed surfaces are R = 1707.76,  $a^2 = 9.712$ .

Ra	$a^2$	γ	ξ
1707.76	9.712	0	0
2020.22	10.53	$10^{-7}$	0
2333.33	11.00	$10^{-6}$	0
3155.21	12.13	$10^{-5}$	0
7009.72	13.70	$10^{-4}$	0
3155.23	12.13	$10^{-5}$	$10^{-7}$
3155.42	12.13	$10^{-5}$	$10^{-6}$
3157.35	12.13	$10^{-5}$	$10^{-5}$
3176.66	12.13	$10^{-5}$	$10^{-4}$
3368.79	12.16	$10^{-5}$	$10^{-3}$
5236.80	12.28	$10^{-5}$	$10^{-2}$
3155.42	12.13	$10^{-6}$	$10^{-5}$
3157.35	12.13	$10^{-5}$	$10^{-5}$
7012.21	13.70	$10^{-4}$	$10^{-5}$

**TABLE 2** | Critical Rayleigh and wave numbers for boundary conditions of Case II, weak adherence, versus  $\gamma$ ,  $\xi$ . The classical values which hold for  $\gamma = 0$ ,  $\xi = 0$  and fixed surfaces are R = 1707.76,  $\alpha^2 = 9.712$ .

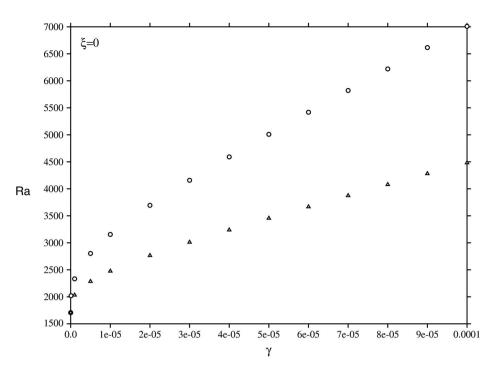
Ra	$a^2$	γ	ξ
2032.77	10.36	$10^{-6}$	0
2476.26	10.85	$10^{-5}$	0
4482.71	11.36	$10^{-4}$	0
2476.45	10.85	$10^{-5}$	$10^{-6}$
2495.50	10.87	$10^{-5}$	$10^{-4}$
2667.65	10.97	$10^{-5}$	$10^{-3}$
4346.97	11.47	$10^{-5}$	$10^{-2}$

The behavior of the critical wavenumber for cases I and II is shown in Tables 1 and 2, and in Figures 1 and 2. In both cases, the critical wavenumber rises as  $\gamma$  increases. This means that since the wavenumber is inversely proportional to the aspect ratio of the convection cell (width to depth), increasing  $\gamma$  means the cells become narrower for a fixed depth. The presence of the tri-Laplacian appears to concentrate the convection cells more together to produce a smaller pattern when viewed from above.

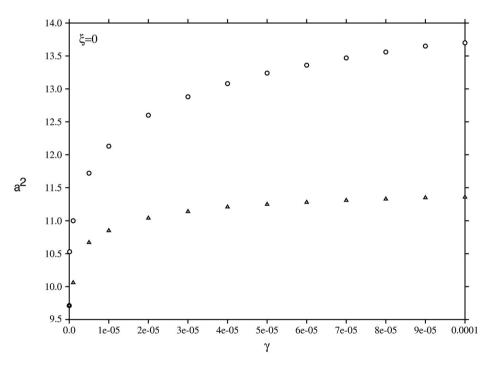
For the general adherence boundary conditions with  $q=\ell/\gamma$ , Figures 3 and 4 and Table 3 show the critical Rayleigh number varies from the weak adherence value at q=0 ( $\ell=0$ ), to the strong adherence value as q increases ( $\ell\to\infty$ ). The variation is from Ra=2476 to Ra=3155 when  $\gamma=10^{-5}$ ,  $\xi=0$ , with  $a^2$  increasing from 10.85 to 12.13 over the same range. The variation is from Ra=4347 to Ra=5237 when  $\gamma=10^{-5}$ ,  $\xi=10^{-2}$ , with  $a^2$  increasing from 11.47 to 12.28 over the same range.

#### 8 | Conclusions

In this paper, we have investigated a model for thermal convection in a higher-order Navier-Stokes theory, introduced for isothermal flow by [28]. In the model, the stress depends on spatial velocity gradients up to third-order derivatives, so that the associated PDE is of sixth order. This kind of fluids are physically relevant, since the presence of such extra spatial



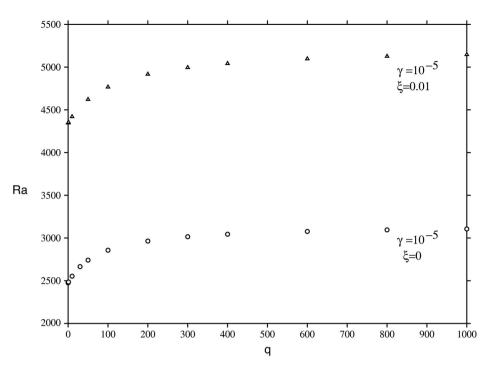
**FIGURE 1** | Graph of Ra versus  $\gamma$ , case I boundary conditions, strong adherence are open circles, case II boundary conditions, weak adherence are triangles;  $\xi = 0$ . The value of Ra when  $\gamma = 0$ ,  $\xi = 0$  is 1707.76.



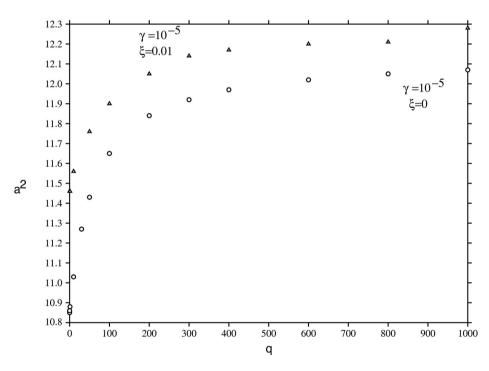
**FIGURE 2** | Graph of  $a^2$  versus  $\gamma$ , case I boundary conditions, strong adherence are open circles, case II boundary conditions, weak adherence are triangles;  $\xi = 0$ . The value of  $a^2$  when  $\gamma = 0$ ,  $\xi = 0$  is 9.712.

derivatives can capture, for instance, some features of the flow when the composition of the fluid involves long molecules, or in applications to microfluidic industry where length scales are very small (see [27, 64–66]).

By including also temperature into the model, we have studied the Bénard thermal convection problem, employing meaningful boundary conditions, which have been derived up to the highest order. We have shown that linear instability provides the same results of a global nonlinear energy stability analysis; this is an optimal result and shows that linear instability theory is enough to capture the physics of the problem.



**FIGURE 3** | Graph of Ra versus q, case III boundary conditions, general adherence.  $\gamma = 10^{-5}$ ,  $\xi = 0.01$ ,  $\gamma = 10^{-5}$ , and  $\xi = 0$ .



**FIGURE 4** | Graph of  $a^2$  versus q, case III boundary conditions, general adherence.  $\gamma = 10^{-5}$ ,  $\xi = 0.01$ ,  $\gamma = 10^{-5}$ , and  $\xi = 0$ .

The study of thermal convection for higher-order fluids can be very interesting from the technological viewpoint: for instance, in [47] it is described a novel method in energy production, where a convective motion in a bath of suitable fluid induces the creation of electricity via the pyroelectric effect with a PZT ceramic plate of thickness several  $\mu m$  (see for more details [47, 67]). A careful control of the convective motion is necessary in a small bath and we believe a fluid with long molecules could be employed to ensure accuracy. Such a fluid will need to be modeled by higher spatial gradients.

Furthermore, recent applications of solar pond technology have incorporated phase-change materials and other additives into the saline water to enhance electricity production efficiency (see [68–70]). The complexity of the resulting fluid can be more accurately modeled with a higher-order Navier–Stokes theory. This approach, which predicts the onset of

**TABLE 3** | Critical Rayleigh and wave numbers for boundary conditions of Case III, general adherence, versus q. The first two columns with Ra,  $a^2$  are for  $\gamma = 10^{-5}$ ,  $\xi = 0$ , whereas the second two columns with Ra,  $a^2$  are for  $\gamma = 10^{-5}$ ,  $\xi = 0.01$ .

Ra	$a^2$	Ra	$a^2$	q
2476.26	10.85	4346.97	11.47	0
2484.86	10.88	4354.86	11.47	1
2553.37	11.03	4420.06	11.56	10
2857.04	11.65	4766.95	11.90	100
3105.80	12.07	5147	12.28	1000
3155.21	12.13	5236.80	12.28	∞

convective instability at a significantly higher Rayleigh number, could prove beneficial in advancing the effectiveness of this technology. Moreover, it has been realized recently that the efficiency of a solar pond may be greatly increased by using a mixture of coal and cinder at the base ([71–74]). Such a porous medium will have a double porosity structure and in the micropores we believe a higher gradient theory for an incompressible fluid will be required for accurate assessment. Such a porous material is known as bidisperse and convection in such is well-known [75, 76], although in the solar pond case one would need to adapt the theory of convection in a fluid layer overlying a bidisperse porous medium developed in [77].

Finally, convection in nanofluids is a very hot topic in heat transfer and renewable energy research (see, e.g., [78]). A nanofluid is a suspension of typically metallic oxides in a carrier fluid and there is clear evidence that a nanofluid does not behave like a linearly viscous Navier–Stokes fluid (see, e.g., [79]). For example, a CuO nanofluid suspension contains particles of the shape of a prolate spheroid of aspect ratio 3 (see [79]). Such a molecular liquid is well-known to display non-Newtonian behavior (see, e.g. [1]), where a flattened velocity profile is observed in Poiseuille flow instead of the parabolic one of Navier–Stokes theory. Such behavior in fluid suspensions has been known for many years, see [80], and a higher-order velocity gradient theory does not suffer from the drawback of a parabolic profile. Hence, a [28] sixth-order theory is likely to also be suited to a proper description of convection in a nanofluid suspension.

#### Acknowledgments

The work of BS was supported by an Emeritus Fellowship of the Leverhulme Trust, EM-2019-022/9. CL carried out this study within the MICS (Made in Italy – Circular and Sustainable) Extended Partnership and received funding from the European Union Next-Generation EU (PIANO NAZIONALE DI RIPRESA E RESILIENZA (PNRR) – MISSIONE 4 COMPONENTE 2, INVESTIMENTO 1.3 – D.D. 1551.11-10-2022, PE00000004). GG, AG, AM, and AM have been partially supported by MIUR, PRIN 2022 Project "Mathematical models for viscoelastic biological matter," 202249PF73. GG, AG, CL, AM, and AM are members of, and acknowledge, Gruppo Nazionale per la Fisica Matematica (GNFM) of Istituto Nazionale di Alta Matematica (INdAM).

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#### **Conflicts of Interest**

The authors declare no conflicts of interest.

#### **Data Availability Statement**

Data sharing not applicable to this article as no data sets were generated or analyzed during the current study.

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