



# Multiple critical points for perturbed symmetric functionals associated with quasilinear elliptic problems

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## Abstract

By means of nonsmooth critical point theory, we prove existence of infinitely many weak solutions for a class of perturbed symmetric quasilinear elliptic equations.

*Key words:* Nonsmooth critical point theory, perturbation of symmetric functionals, quasilinear elliptic equations.

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## 1 Introduction

The main goal of this paper is to extend to the quasilinear case the existence results known since 1980 for the semilinear scalar problem

$$\begin{cases} -\sum_{i,j=1}^n D_j(a_{ij}(x)D_i u) = g(x, u) + \varphi & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega, \end{cases}$$

with  $g$  is superlinear and odd in  $u$ ,  $\varphi \in L^2(\Omega)$  and  $\Omega$  is a bounded domain in  $\mathbb{R}^n$ .

This problem has been deeply studied in [2], [10], [15], [17] and [19] by means of the variational techniques developed by Bahri, Berestycki, Rabinowitz and Struwe in the early eighties. We remark that, around 1990, Bahri and P. L. Lions improved in [3] and [4] the previous results via a technique based on

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Morse theory. See also [20] for further improvements by means of a completely different method devised by P. Bolle.

On the other hand, since 1994, several efforts have been devoted to study existence for quasilinear problems of the type

$$\begin{cases} -\sum_{i,j=1}^n D_j(a_{ij}(x,u)D_iu) + \frac{1}{2}\sum_{i,j=1}^n D_s a_{ij}(x,u)D_iuD_ju = g(x,u) & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega. \end{cases} \quad (1)$$

We refer the reader to [5], [6], [7] and [18] for the study of multiplicity of solutions of problem (1) and furthermore to [1], [14] and [16] for an even more general framework.

The functional  $f_0 : H_0^1(\Omega) \rightarrow \mathbb{R}$  associated with (1) is given by

$$f_0(u) = \frac{1}{2} \int_{\Omega} \sum_{i,j=1}^n a_{ij}(x,u)D_iuD_ju \, dx - \int_{\Omega} G(x,u) \, dx.$$

We stress that  $f_0$  is not even locally Lipschitz unless the  $a_{ij}$ 's do not depend on  $u$ .

Consequently, techniques of nonsmooth critical point theory have to be applied. We refer the reader to [7], [8], [9], [11] and [12] for the abstract theory that we shall need in the following.

It seems now natural to ask whether also in a quasilinear setting the multiplicity of solutions is stable under large  $L^2$ -perturbations.

In this paper we want to investigate the effects of destroying the symmetry of (1) and show that for each  $\varphi \in L^2(\Omega)$  the perturbed equation

$$-\sum_{i,j=1}^n D_j(a_{ij}(x,u)D_iu) + \frac{1}{2}\sum_{i,j=1}^n D_s a_{ij}(x,u)D_iuD_ju = g(x,u) + \varphi \text{ in } \Omega \quad (2)$$

still has infinitely many weak solutions.

Therefore, we shall work on the functional

$$f_{\varphi}(u) = \frac{1}{2} \int_{\Omega} \sum_{i,j=1}^n a_{ij}(x,u)D_iuD_ju \, dx - \int_{\Omega} G(x,u) \, dx - \int_{\Omega} \varphi u \, dx.$$

In the next,  $\Omega$  will denote an open and bounded subset of  $\mathbb{R}^n$  with  $n \geq 3$ . Moreover, we shall consider the following assumptions :

( $\mathcal{H}_1$ ) each  $a_{ij}(x, s)$  is measurable in  $x$  for each  $s \in \mathbb{R}$  and of class  $C^1$  in  $s$  for a.e.  $x \in \Omega$  with  $a_{ij}(x, s) = a_{ji}(x, s)$ ,  $a_{ij}(\cdot, \cdot) \in L^\infty(\Omega \times \mathbb{R})$  and  $D_s a_{ij}(\cdot, \cdot) \in L^\infty(\Omega \times \mathbb{R})$ . Moreover, there exist  $\nu > 0$  and  $R > 0$  such that

$$\sum_{i,j=1}^n a_{ij}(x, s) \xi_i \xi_j \geq \nu |\xi|^2$$

$$|s| \geq R \implies \sum_{i,j=1}^n s D_s a_{ij}(x, s) \xi_i \xi_j \geq 0, \tag{3}$$

for a.e.  $x \in \Omega$  and for all  $(s, \xi) \in \mathbb{R} \times \mathbb{R}^n$ ;

( $\mathcal{H}_2$ )  $G(x, s)$  is measurable in  $x$  for all  $s \in \mathbb{R}$ , of class  $C^1$  in  $s$  a.e. in  $\Omega$  with  $G(x, 0) = 0$  and  $g(x, s) = D_s G(x, s)$ . Moreover, there exist  $q > 2$  and  $R' > 0$  with

$$|s| \geq R' \implies 0 < qG(x, s) \leq g(x, s)s, \tag{4}$$

for a.e.  $x \in \Omega$  and all  $s \in \mathbb{R}$ ;

( $\mathcal{H}_3$ ) there exists  $\gamma \in ]0, q - 2[$  such that :

$$|s| \geq R' \implies \sum_{i,j=1}^n s D_s a_{ij}(x, s) \xi_i \xi_j \leq \gamma \sum_{i,j=1}^n a_{ij}(x, s) \xi_i \xi_j, \tag{5}$$

for a.e.  $x \in \Omega$  and for all  $(s, \xi) \in \mathbb{R} \times \mathbb{R}^n$ .

Under the previous assumptions, the following is our main result.

**Theorem 1.1** Assume that there exists  $\sigma \in ]1, \frac{qn+2(q-1)}{qn-2(q-1)}[$  such that

$$|g(x, s)| \leq a + b|s|^\sigma$$

with  $a, b \in \mathbb{R}$  and that for a.e.  $x \in \Omega$  and for each  $s \in \mathbb{R}$

$$a_{ij}(x, -s) = a_{ij}(x, s), \quad g(x, -s) = -g(x, s).$$

Then there exists a sequence  $(u_h)$  of weak solutions to (2) with  $f_\varphi(u_h) \rightarrow +\infty$ .

Since for each  $q > 2$  and  $n \geq 3$  we have

$$\frac{qn + (q - 1)(n + 2)}{qn + (q - 1)(n - 2)} < \frac{qn + 2(q - 1)}{qn - 2(q - 1)},$$

this result relaxes Theorem 1.1 of [13] which was proven for

$$\sigma \in \left] 1, \frac{qn + (q - 1)(n + 2)}{qn + (q - 1)(n - 2)} \right[.$$

This because we used in Lemma 4.2 the sharp estimate from below on the growth of the critical values shown by K. Tanaka in 1989 via Morse theory [19] which improves the direct estimate of P. H. Rabinowitz of 1981 based on the Gagliardo–Nirenberg inequality.

We point out that we assumed (3) and (5) only for large values of  $|s|$ , while in [13], dealing with systems, we requested conditions (3) and (5) to hold for each  $s \in \mathbb{R}$ .

In the next result we allow a more general class of perturbations.

**Theorem 1.2** *Assume that there exists  $\sigma \in ]1, 2^*[$  and  $\sigma' \in [0, q - 1[$  with*

$$|g(x, s)| \leq a + b|s|^\sigma, \quad |\varphi(x, s)| \leq c + d|s|^{\sigma'},$$

where  $\varphi \in C(\Omega \times \mathbb{R})$  and  $\frac{2(\sigma+1)}{n(\sigma-1)} > \frac{q}{q-\sigma'-1}$ . Assume further that :

$$a_{ij}(x, -s) = a_{ij}(x, s), \quad g(x, -s) = -g(x, s).$$

Then there exists a sequence  $(u_h)$  of weak solutions of the problem

$$-\sum_{i,j=1}^n D_j(a_{ij}(x, u)D_i u) + \frac{1}{2} \sum_{i,j=1}^n D_s a_{ij}(x, u)D_i u D_j u = g(x, u) + \varphi(x, u) \text{ in } \Omega$$

with  $u = 0$  on  $\partial\Omega$ , such that  $f_\Phi(u_h) \rightarrow +\infty$  where :

$$f_\Phi(u) = \frac{1}{2} \int_\Omega \sum_{i,j=1}^n a_{ij}(x, u)D_i u D_j u \, dx - \int_\Omega G(x, u) \, dx - \int_\Omega \Phi(x, u) \, dx,$$

and  $D_s \Phi(x, s) = \varphi(x, s)$  for each  $x \in \Omega$  and all  $s \in \mathbb{R}$ .

These theorems extend the results of [2], [10], [15] and [17] to the quasilinear case.

## 2 Perturbation of even functionals

If  $\varphi \not\equiv 0$ , clearly  $f_\varphi$  is not even. Note that by (4) we find  $c_1, c_2, c_3 > 0$  such that :

$$\frac{1}{q}(g(x, s)s + c_1) \geq G(x, s) + c_2 \geq c_3|s|^q, \tag{6}$$

for a.e.  $x \in \Omega$  and each  $s \in \mathbb{R}$ .

**Lemma 2.1** *Assume that  $u$  is a weak solution to (2). Then it results*

$$\int_\Omega (G(x, u) + c_2) \, dx \leq \sigma (f_\varphi^2(u) + 1)^{\frac{1}{2}},$$

for some  $\sigma > 0$  depending on  $\|\varphi\|_2$ .

*Proof.* Let us set  $C = \|D_s a_{ij}\|_{L^\infty(\Omega \times \mathbb{R})}$ . If we choose  $\gamma' \in ]\gamma, q - 2[$  and  $\varepsilon > 0$  in such a way that

$$\frac{nCR'\varepsilon}{\nu} \leq \gamma' - \gamma,$$

using [7, Theorem 2.2.9] and working as in the proof of [7, Lemma 2.3.2], we get

$$\begin{aligned} & \int_\Omega \sum_{i,j=1}^n D_s a_{ij}(x, u) D_i u D_j u \, dx \leq \\ & \leq \gamma' \int_\Omega \sum_{i,j=1}^n a_{ij}(x, u) D_i u D_j u \, dx + M_{R', \varepsilon}. \end{aligned} \tag{7}$$

Therefore, we deduce that :

$$\begin{aligned} f_\varphi(u) &= f_\varphi(u) - \frac{1}{2} f'_\varphi(u)(u) = \\ &= \int_\Omega \left[ \frac{1}{2} g(x, u) u - G(x, u) - \frac{1}{2} \varphi u \right] \, dx - \frac{1}{4} \int_\Omega \sum_{i,j=1}^n D_s a_{ij}(x, u) D_i u D_j u \, dx \geq \\ &\geq \left( \frac{1}{2} - \frac{1}{q} \right) \int_\Omega (g(x, u) u + c_1) \, dx - \frac{\|\varphi\|_2 \|u\|_2}{2} + \\ &\quad - \frac{\gamma'}{4} \int_\Omega \sum_{i,j=1}^n a_{ij}(x, u) D_i u D_j u \, dx - c_4 \geq \\ &\geq \left( \frac{q}{2} - 1 - \frac{\gamma'}{2} \right) \int_\Omega (G(x, u) + c_2) \, dx - \frac{\gamma'}{2} f_\varphi(u) - \delta \|u\|_q^q - \beta(\delta) \|\varphi\|_2^{q'} - c_5 \end{aligned}$$

with  $\delta \rightarrow 0$  and  $\beta(\delta) \rightarrow +\infty$ . Choosing  $\delta > 0$  small enough, by (6) we have :

$$\sigma_\delta f_\varphi(u) \geq \int_\Omega (G(x, u) + c_2) dx - c_6,$$

where  $\sigma_\delta = \frac{2+\gamma'}{q-2-\gamma'-2\delta}$ . The assertion follows as in [15, Lemma 1.8].

Let us now define  $\chi \in C^\infty(\mathbb{R})$  by setting  $\chi = 1$  for  $s \leq 1$ ,  $\chi = 0$  for  $s \geq 2$  and  $-2 < \chi' < 0$  when  $1 < s < 2$ , and let us set :

$$\phi(u) = 2\sigma (f_\varphi^2(u) + 1)^{\frac{1}{2}}, \quad \psi(u) = \chi \left( \phi(u)^{-1} \int_\Omega (G(x, u) + c_2) dx \right)$$

for each  $u \in H_0^1(\Omega)$ . Finally, we define the modified functional by setting :

$$\tilde{f}_\varphi(u) = \frac{1}{2} \int_\Omega \sum_{i,j=1}^n a_{ij}(x, u) D_i u D_j u dx - \int_\Omega G(x, u) dx - \psi(u) \int_\Omega \varphi u dx \tag{8}$$

The Euler’s equation associated with (8) is given by :

$$\begin{cases} - \sum_{i,j=1}^n D_j (a_{ij}(x, u) D_i u) + \frac{1}{2} \sum_{i,j=1}^n D_s a_{ij}(x, u) D_i u D_j u = \tilde{g}(x, u) & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega, \end{cases} \tag{9}$$

where we have set :

$$\tilde{g}(x, u) = g(x, u) + \psi(u)\varphi + \psi'(u) \int_\Omega \varphi u dx.$$

We remark that by Lemma 2.1, if  $u$  solves (2), then  $\psi(u) = 1$  and  $\tilde{f}_\varphi(u) = f_\varphi(u)$ . In the next result, we measure the defect of symmetry of  $\tilde{f}_\varphi$ .

**Lemma 2.2** *There exists  $\beta > 0$  depending on  $\|\varphi\|_2$  such that*

$$|\tilde{f}_\varphi(u) - \tilde{f}_\varphi(-u)| \leq \beta \left\{ |\tilde{f}_\varphi(u)|^{\frac{1}{q}} + 1 \right\}$$

for each  $u \in H_0^1(\Omega)$ .

*Proof.* See [13, Lemma 2.2].

**Theorem 2.3** *There exists  $\widehat{M} > 0$  such that if  $u$  is a weak solution of (9) such that  $\tilde{f}_\varphi(u) \geq \widehat{M}$  then  $u$  is a weak solution to (2) and  $\tilde{f}_\varphi(u) = f_\varphi(u)$ .*

*Proof.* For the complete proof, see [13, Theorem 2.3]. Let us give a brief sketch. Standard computations yield :

$$\psi'(u)(u) = \chi'(\vartheta(u))\phi(u)^{-2} \left[ \phi(u) \int_{\Omega} g(x, u)u \, dx - (2\sigma)^2 \vartheta(u) f_{\varphi}(u) f'_{\varphi}(u)(u) \right]$$

where we have set

$$\vartheta(u) = \phi(u)^{-1} \int_{\Omega} (G(x, u) + c_2) \, dx .$$

Moreover, a direct computation yields :

$$\begin{aligned} \tilde{f}'_{\varphi}(u)(u) = & (1 + T_1(u)) \int_{\Omega} \sum_{i,j=1}^n a_{ij}(x, u) D_i u D_j u \, dx + \\ & + \frac{1}{2} (1 + T_1(u)) \int_{\Omega} \sum_{i,j=1}^n D_s a_{ij}(x, u) D_i u D_j u \, dx + \\ & - (1 + T_2(u)) \int_{\Omega} g(x, u) u \, dx - (\psi(u) + T_1(u)) \int_{\Omega} \varphi u \, dx , \end{aligned}$$

where  $T_1, T_2 : H_0^1(\Omega) \rightarrow \mathbb{R}$  are defined by setting :

$$T_1(u) = \chi'(\vartheta(u))(2\sigma)^2 \vartheta(u) \phi(u)^{-2} f_{\varphi}(u) \int_{\Omega} \varphi u \, dx ,$$

and

$$T_2(u) = \chi'(\vartheta(u))\phi(u)^{-1} \int_{\Omega} \varphi u \, dx + T_1(u) .$$

At this point, argue on the term  $\tilde{f}_{\varphi}(u) - \frac{1}{2(1+T_1(u))} \tilde{f}'_{\varphi}(u)(u)$  as in Lemma 2.1 .

### 3 The concrete Palais–Smale condition

We now introduce a variant of the classical Palais–Smale condition that is more suitable in our nonsmooth context .

**Definition 3.1** A sequence  $(u_h)$  in  $H_0^1(\Omega)$  is said to be a concrete Palais–Smale sequence at level  $c \in \mathbb{R}$  ( $(CPS)_c$ -sequence, in short) for the functional  $\tilde{f}_{\varphi}$ , if  $\tilde{f}_{\varphi}(u_h) \rightarrow c$ ,

$$\sum_{i,j=1}^n D_s a_{ij}(x, u_h) D_i u_h D_j u_h \in H^{-1}(\Omega)$$

eventually as  $h \rightarrow \infty$  and

$$-\sum_{i,j=1}^n D_j(a_{ij}(x, u_h)D_i u_h) + \frac{1}{2} \sum_{i,j=1}^n D_s a_{ij}(x, u_h)D_i u_h D_j u_h - \tilde{g}(x, u_h) \rightarrow 0$$

strongly in  $H^{-1}(\Omega)$ . We say that  $\tilde{f}_\varphi$  satisfies the concrete Palais–Smale condition at level  $c$  ( $(CPS)_c$  condition), if every  $(CPS)_c$ -sequence for  $\tilde{f}_\varphi$  admits a strongly convergent subsequence in  $H_0^1(\Omega)$ .

**Lemma 3.2** *There exists  $\tilde{M} \in \mathbb{R}$  such that each  $(CPS)_c$ -sequence  $(u_h)$  for  $\tilde{f}_\varphi$  with  $c \geq \tilde{M}$  is bounded in  $H_0^1(\Omega)$ .*

*Proof.* Let  $K > 0$  be such that for large  $h \in \mathbb{N}$  and any  $\varrho > 0$ , we have :

$$\varrho \|u_h\|_{1,2} + K \geq \tilde{f}_\varphi(u_h) - \varrho \tilde{f}'_\varphi(u_h)(u_h).$$

If we choose  $\gamma'$  and  $\varepsilon$  as in the proof of Lemma 2.1, by inequality (7), arguing as in the proof of [13, Lemma 3.2], we have :

$$\begin{aligned} & \varrho \|u_h\|_{1,2} + K \geq \\ & \geq \left( \frac{1}{2} - \varrho(1 + T_1(u_h)) - \frac{\varrho\gamma'}{2}(1 + T_1(u_h)) \right) \int_{\Omega} \sum_{i,j=1}^n a_{ij}(x, u_h)D_i u_h D_j u_h \, dx + \\ & + \varrho(1 + T_2(u_h)) \int_{\Omega} g(x, u_h) u_h \, dx - \int_{\Omega} G(x, u_h) \, dx + \\ & + [\varrho(\psi(u_h) + T_1(u_h)) - \psi(u_h)] \int_{\Omega} \varphi u_h \, dx - \frac{\varrho}{2}(1 + T_1(u_h))M_{R',\varepsilon} \geq \\ & \geq \frac{\nu}{2}(1 - \varrho(2 + \gamma')(1 + T_1(u_h))) \|u_h\|_{1,2}^2 + (q\varrho(1 + T_2(u_h)) - 1) \int_{\Omega} G(x, u_h) \, dx \\ & - [\varrho(1 + T_1(u_h)) + 1] \|\varphi\|_2 \|u_h\|_2 - \frac{\varrho}{2}(1 + T_1(u_h))M_{R',\varepsilon}. \end{aligned}$$

If we take  $\tilde{M}$  sufficiently large, we find  $\delta > 0$ ,  $\eta > 0$  and  $\varrho \in \left] \frac{1+\eta}{q}, \frac{1-\delta}{\gamma'+2} \right[$  with

$$(1 - \varrho(2 + \gamma')(1 + T_1(u_h))) > \delta, \quad (q\varrho(1 + T_2(u_h)) - 1) > \eta,$$

uniformly in  $h \in \mathbb{N}$ . Hence we obtain :

$$\varrho \|u_h\|_{1,2} + K \geq \frac{\nu\delta}{2} \|u_h\|_{1,2}^2 + b\eta \|u_h\|_q^q - c \|u_h\|_{1,2} - d_{R',\varepsilon},$$

which implies that the sequence  $(u_h)$  is bounded in  $H_0^1(\Omega)$ .

The next result is one of the main tools to get our existence result.

**Theorem 3.3**  *$\tilde{f}_\varphi$  satisfies the  $(CPS)_c$  condition at each level  $c \geq \tilde{M}$ .*



*Proof.* Let  $(u_h)$  be a  $(CPS)_c$ -sequence for  $\tilde{f}_\varphi$  with  $c \geq \tilde{M}$ , where  $\tilde{M}$  is as in Lemma 3.2. Therefore  $(u_h)$  is bounded in  $H_0^1(\Omega)$  and from [13, Lemma 3.3] we deduce that, up to subsequences,  $(\tilde{g}(x, u_h))$  is strongly convergent in  $H^{-1}(\Omega)$ . Then, by [7, Theorem 2.2.4], there exists a further subsequence  $(u_{h_k})$  which strongly converges in  $H_0^1(\Omega)$ .

#### 4 Comparison of min–max values

In this section, we shall build two min–max classes for  $\tilde{f}_\varphi$  and then we shall compare the growths of the associated min–max values (see [15]). Let  $(u_h)$  be the orthonormalized sequence of solutions to the problem :

$$\begin{cases} -\Delta u = \lambda u & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega, \end{cases}$$

and set  $V_0 = \langle u_0 \rangle$  and  $V_{k+1} = V_k \oplus \mathbb{R}u_{k+1}$  for each  $k \geq 1$ . Since each  $V_k$  is finite dimensional, one can find  $\beta_1, \beta_2, \beta_3 > 0$  such that :

$$\tilde{f}_\varphi(u) \leq \beta_1 \|u\|_{1,2}^2 - \beta_2 \|u\|_{1,2}^q - \beta_3,$$

for each  $u \in V_k$ . In particular, for each  $k \in \mathbb{N}$  there exists  $R_k > 0$  such that :

$$\|u\|_{1,2} \geq R_k \implies \tilde{f}_\varphi(u) \leq \tilde{f}_\varphi(0) \leq 0$$

for all  $u \in V_k$ .

**Definition 4.1** For each  $k \in \mathbb{N}$  set  $D_k = V_k \cap B(0, R_k)$ ,

$$\Gamma_k = \left\{ \gamma \in C(D_k, H_0^1(\Omega)) : \gamma \text{ odd and } \gamma|_{\partial B(0, R_k)} = Id \right\},$$

and

$$b_k = \inf_{\gamma \in \Gamma_k} \max_{u \in D_k} \tilde{f}_\varphi(\gamma(u)).$$

**Lemma 4.2** There exist  $\beta > 0$  and  $k_0 \in \mathbb{N}$  with  $b_k \geq \beta k^{\frac{2(\sigma+1)}{n(\sigma-1)}}$  for  $k \geq k_0$ .

*Proof.* Since there exist  $\beta_1, \beta_2 > 0$  such that

$$\tilde{f}_\varphi(u) \geq \frac{\nu}{2} \int_{\Omega} |Du|^2 dx - \beta_1 \|u\|_{\sigma+1}^{\sigma+1} - \beta_2,$$

it suffices to follow the proof of [19, Theorem 1].

**Definition 4.3** We denote by  $U_k$  the set of  $\xi = tu_{k+1} + w$  such that :

$$0 \leq t \leq R_{k+1}, \quad w \in B(0, R_{k+1}) \cap V_k, \quad \|\xi\|_{1,2} \leq R_{k+1}.$$

We denote by  $A_k$  the set of  $\lambda \in C(U_k, H_0^1(\Omega))$  such that :

$$\lambda|_{D_k} \in \Gamma_{k+1}, \quad \lambda|_{\partial B(0, R_{k+1}) \cup ((B(0, R_{k+1}) \setminus B(0, R_k)) \cap V_k)} = Id$$

and we set :

$$c_k = \inf_{\lambda \in A_k} \max_{u \in U_k} \tilde{f}_\varphi(\lambda(u)).$$

The next is our main existence tool.

**Lemma 4.4** Assume that  $c_k > b_k \geq \widetilde{M}$ . If  $\delta \in ]0, c_k - b_k[$  and

$$A_k(\delta) = \left\{ \lambda \in A_k : \tilde{f}_\varphi(\lambda(u)) \leq b_k + \delta \text{ for } u \in D_k \right\}$$

set

$$c_k(\delta) = \inf_{\lambda \in A_k(\delta)} \max_{u \in U_k} \tilde{f}_\varphi(\lambda(u)).$$

Then  $c_k(\delta)$  is a critical value for  $\tilde{f}_\varphi$ .

*Proof.* See [13, Lemma 5.5]. Of course, differently from the proof of [15, Lemma 1.57], in this nonsmooth framework, we shall apply [7, Theorem 1.1.13] instead of the classical Deformation Lemma (see Lemma 1.60 of [15]).

**Lemma 4.5** Assume that  $c_k = b_k$  for all  $k \geq k_1$ . Then, there exist  $\gamma > 0$  such that  $b_k \leq \gamma k^{\frac{\sigma}{q-1}}$  for each  $k \geq k_1$ .

*Proof.* See [13, Lemma 5.6].

We finally come to the proof of our main result.

*Proof of Theorem 1.1.* The restriction on  $\sigma$  implies that  $q/(q-1) < (2(\sigma+1))/(n(\sigma-1))$ . Therefore, combining Lemma 4.2 and Lemma 4.5 we deduce that  $c_k > b_k$ , so that we may apply Lemma 4.4 and obtain that  $(c_k(\delta))$  is a sequence of critical values for  $\tilde{f}_\varphi$ . Finally, if  $M$  is larger than  $\max\{\widetilde{M}, \widehat{M}\}$ ,

by Theorem 2.3 we conclude that  $f_\varphi$  has a diverging sequence of critical values.  $\square$

*Proof of Theorem 1.2.* It is a variant of the proof of Theorem 1.1. It suffices to slightly modify the estimates in several of the Lemmas.  $\square$

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